

# Introduction

# 1.1 Complexity

- **Definition of an algorithm:** is a sequence of computational steps that transforms input into output."
- **Definition of the complexity of an algorithm:** is the number of elementary operations it must perform to complete a calculation based on the size of the input data.
- **Definition of Algorithm Efficiency:** The efficiency of an algorithm is measured by the increase in computation time as a function of data size.

# Data size

- The size of the data (or of the inputs) will depend on the coding of these inputs.
- For example, depending on the problem, the inputs and their sizes can be:
- **Elements**: number of elements
- **Numbers**: number of bits necessary for the representation of these;
- **Polynomials**: the degree, the number of nonzero coefficients;
- **Matrices**:  $\max(m, n)$ ,  $m \cdot n$ ,  $m + n$ ;
- **Graphs**: number of vertices, number of arcs, product of the two;
- **Lists, tables, files**: number of boxes, elements;
- **Words**: their length.

# Calculation time

The calculation time of a program depends on several elements:

- The amount of data.
- Their encoding;
- The quality of the code generated by the compiler;
- The nature and speed of language instructions;
- The quality of programming;
- The efficiency of the algorithm.

- The complexity of the algorithms studied will depend neither on the computer, nor on the language used, nor on the programmer, nor on the implementation quite simply, it depends on a number of fundamental operations.
- The fundamental operations depend on the problem to be solved.

Problem	Fundamental operation
Searching for an item in a list	Comparison
Sorting a list, a file, ...	Comparisons, displacements
Multiplication of real matrices	Multiplications and additions
Addition of binary integers	Binary operation

Table 1: fundamental operations according to the problems

# 1.2 Cost of operations

For the time complexity, there are several possibilities:

- First possibility: calculate (as a function of  $n$ ) the number of elementary operations (addition, comparison, assignment, etc.) required by the execution then multiply it by the average time for each of them;
- For an algorithm with essentially numerical calculations, count the costly operations (multiplications, root, exponential, etc.).
- Otherwise count the number of calls to the most frequent operation.

## 1.2.1 Sequential cost

- Sequence :  $T_{sequence}(n) = \sum T_{elements-of-sequence}(n)$
- Alternative: **if C then J else K** :  $T(n) = T_C(n) + \max(T_J(n), T_K(n))$
- Bounded iteration: **for i from j to k do B** :  $T(n) = (k - j + 1)(T_{header}(n) + T_B(n)) + T_{header}(n)$   
where the header is put the index assignment and the continuation test
- Unbounded iteration: **while C do B** :  $T(n) = NB_{loops} \cdot (T_B(n) + T_C(n)) + T_C(n)$  with  $NB_{loops}$   
the number of loops which is evaluated by inductive method
- **Repeat B until C** :  $T(n) = NB_{loops} \cdot (T_B(n) + T_C(n))$

## 1.2.2 Recursive cost

The recursive method uses three steps at each level of the recursion:

- **Divide** the problem into a number of sub-problems;
- **Rule** over sub-problems by solving them recursively. If the size of the subproblem is small enough, it is solved immediately;
- **Combine** the solutions of the sub-problems to produce the solution of the original problem.



# 1.3 The different measures of complexity

- Let **A** be an algorithm, **n** an integer,  $D_n$  the **set** of inputs of size **n** , an input **d**  $\in D_n$  and  $\text{cost}_A(\mathbf{d})$  the number of fundamental operations performed by **A** with input **d** .
- Best case Complexity :  $\text{Min}_A = \min \{ \text{cost}_A(d) / d \in D_n \}$
- Worst case complexity:  $\text{Max}_A = \max \{ \text{cost}_A(d) / d \in D_n \}$
- The average case complexity :  $\text{Avg}_A(n) = \sum_{d \in D_n} P_{\text{proba-dist-law}}(d) \cdot \text{cost}_A(d)$

Where  $p(d)$  is probabilistic distribution law of inputs.

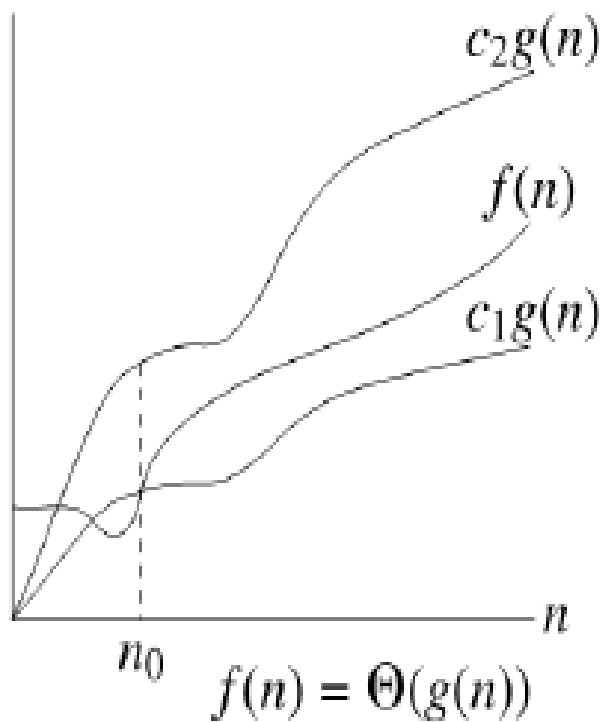
for example: for uniform distribution law:  $\text{Avg}_A(n) = \frac{1}{\text{card}(D_n)} \sum_{d \in D_n} \text{cost}_A(d)$

# 1.4 Comparison between algorithms

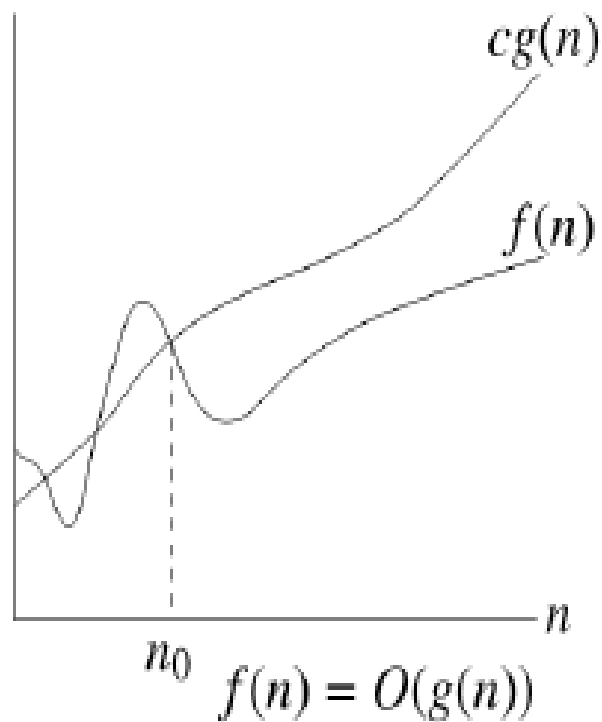
- to compare two algorithms, we will compare their growth rate or order of magnitude i.e. the execution time of the algorithm for a large number of data (inputs).
- An algorithm is more efficient than another if its worst-case running time has a lower order of magnitude.
- For the measurement of the complexity the notation  $O$  (Notation of Landau or big  $O$ ) is often used.

# 1.4.1 Properties of big O

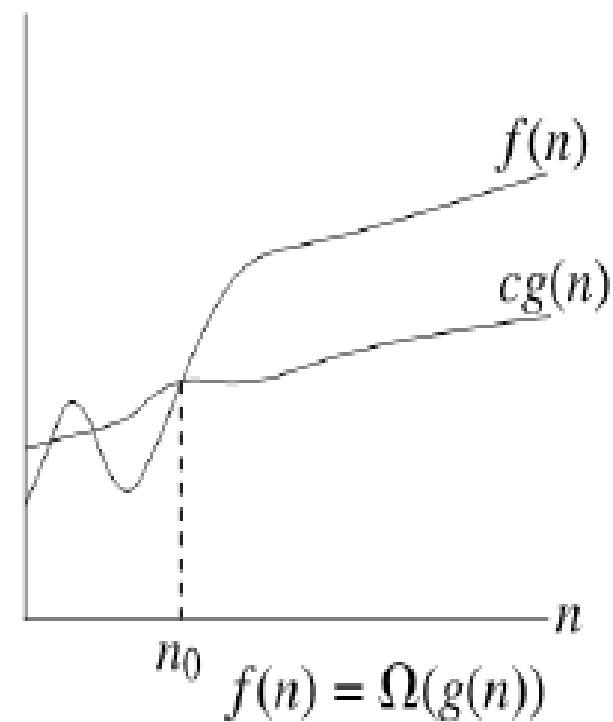
- If  $f(n) \in O(g(n))$ , then  $k \cdot f(n) \in O(g(n))$
- In particular, if  $a \cdot b \in O(1)$  then  $a^{n+b} \in O(a^n)$
- If  $e(n) \in O(g(n))$  and  $f(n) \in O(h(n))$  and if  $g(n) \in O(h(n))$  then  $e(n) + f(n) \in O(h(n))$
- Particularly,  $f(n) = \sum_{d=0}^D a_d n^d \in O(n^D)$
- If  $e(n) \in O(g(n))$  and  $f(n) \in O(h(n))$ , then  $e(n)f(n) \in O(g(n)h(n))$
  
- Lower bound (**big Omega  $\Omega$** ):  $f(n) \in \Omega(g(n))$  if  $g(n) \in O(f(n))$
- Upper and lower bound (**theta  $\Theta$** ):  $f(n) \in \Theta(g(n))$  if  $f(n) \in O(g(n)) \cap \Omega(g(n))$



(a)



(b)



(c)

**Illustration** : Graphical definition of the different notations used in the calculation of complexity

# 1.5 Classification of algorithms

Complexity	Description
<b>O(1)</b> Complexity Constant time complexity	Execution does not depend on the number of input elements but always takes place in a constant number of operations
<b>O(log(n))</b> Complexity Logarithmic complexity	The execution time increases slightly with n . This scenario occurs when the size of the problem is divided by a constant entity at each iteration.
<b>O(n)</b> Complexity Linear complexity	This is typically the case of a program with a loop from 1 to n and the body of the loop performs work of constant duration and independent of n .
<b>O(n log(n))</b> Complexity n-logarithmic complexity	Occurs in algorithms where at each iteration the size of the problem is divided by a constant with each time a linear path of the data (ex: the "quick sort" sorting algorithm)
<b>O(n<sup>2</sup>)</b> Complexity Quadratic complexity	Typically this is the case of algorithms with two nested loops each ranging from 1 to n and with the body of the internal loop which is constant.
<b>O(n<sup>3</sup>)</b> Complexity Cubic complexity	A three nested loops algorithm.
<b>O(n<sup>p</sup>)</b> Complexity Polynomial complexity	All the previous complexities are included in this one. An algorithm is said to be practicable, efficient if it is polynomial.
<b>O(2<sup>n</sup>)</b> Complexity Exponential complexity	Algorithms of this kind are called "naive" because they are inefficient and unusable as soon as n exceeds 50.

# 1.5.1 Complexity of a problem

- The complexity of a problem A is the complexity of the best algorithm that solves A.

Problem	Algorithm name	Complexity
Array element access	Element access in integer array	$O(1)$
Search in sorted array	Binary search	$O(\log(n))$
Search in unsorted array	Unsorted search	$O(n)$
Sorting array	Quick sort	$O(n \log(n))$
	Bubble sort	$O(n^2)$
Multiplication of matrices	Square matrix multiplication	$O(n^3)$
Traveling salesman	Exhaustive search	$O(2^n)$